



James R. Martin

Customs House Brokers

C-TPAT Self-Assessment Transportation Supplier Security

C-TPAT PARTICIPATION
WHETHER IN PROGRESS OR APPROVED, PLEASE COMPLETE STATUS BELOW:

C-TPAT Status	Yes or No	INSTRUCTIONS
1. Accepted to C-TPAT: Submitted signed Agreement to Voluntarily Participate (AVP) <u>and</u> profile questionnaire to U.S. Customs, <u>and</u> received acceptance letter from Customs		Please complete the acknowledgement below, attach a copy of your C-TPAT Certificate or C-TPAT Status Validation Interface (SVI) number, and e-mail or fax it with this page. <u>No further action required.</u>
2. Awaiting acceptance to C-TPAT: Submitted signed AVP and profile questionnaire to U.S. Customs, and waiting for response from Customs		Please complete acknowledgement below, and complete the questionnaire that follows.

IF NOT A C-TPAT PARTICIPANT, PLEASE COMPLETE BELOW

C-TPAT Status	Yes or No	Instructions
Company plans to participate in C-TPAT.		Whether Yes or No, please complete survey.
Company plans to comply with C-TPAT. (Note 1)		Whether Yes or No, please complete survey.
Company is NOT eligible to join the C-TPAT program. (Note 2)		Whether Yes or No, please complete survey

NOTE 1: A company can comply with C-TPAT security requirements without official Program participation, BUT hereby AGREES to meet minimum recommended security standards. This MUST be submitted as "YES" for all respondents that are not C-TPAT Program Certified.

NOTE 2: C-TPAT eligible industries include Importers and Manufacturers, Air/Rail/Sea Carriers. Freight Forwarders/Brokers, NVOCC's and U.S. Port and Marine terminal operators/facilities.

PARTICIPATION IN OTHER SUPPLY CHAIN SECURITY PROGRAMS (Notes 3 and 4)

Status	Yes No	Instructions
1. Partners in Protection (PIP) Canada		Whether Yes or No, please complete survey.
2. Free and Secure Trade (FAST) Canada		Whether Yes or No, please complete survey.
3. Free and Secure Trade (FAST) Mexico		Whether Yes or No, please complete survey.
4. Carrier Initiative Program (CIP)		Whether Yes or No, please complete survey.
5. Super Carrier Initiative Agreement (SCIA)		Whether Yes or No, please complete survey.
6. Business Anti-Smuggling Coalition (BASC)		Whether Yes or No, please complete survey.

Acknowledgement:

Company Name & Address:	
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Signature: _____
 (Sign only if sending by fax)

Name:

Title:

Date:

Security Confidential/Not for Disbursement